



# Equity Management

Manage, Administer, Account For, and Report on Equity Compensation Plans



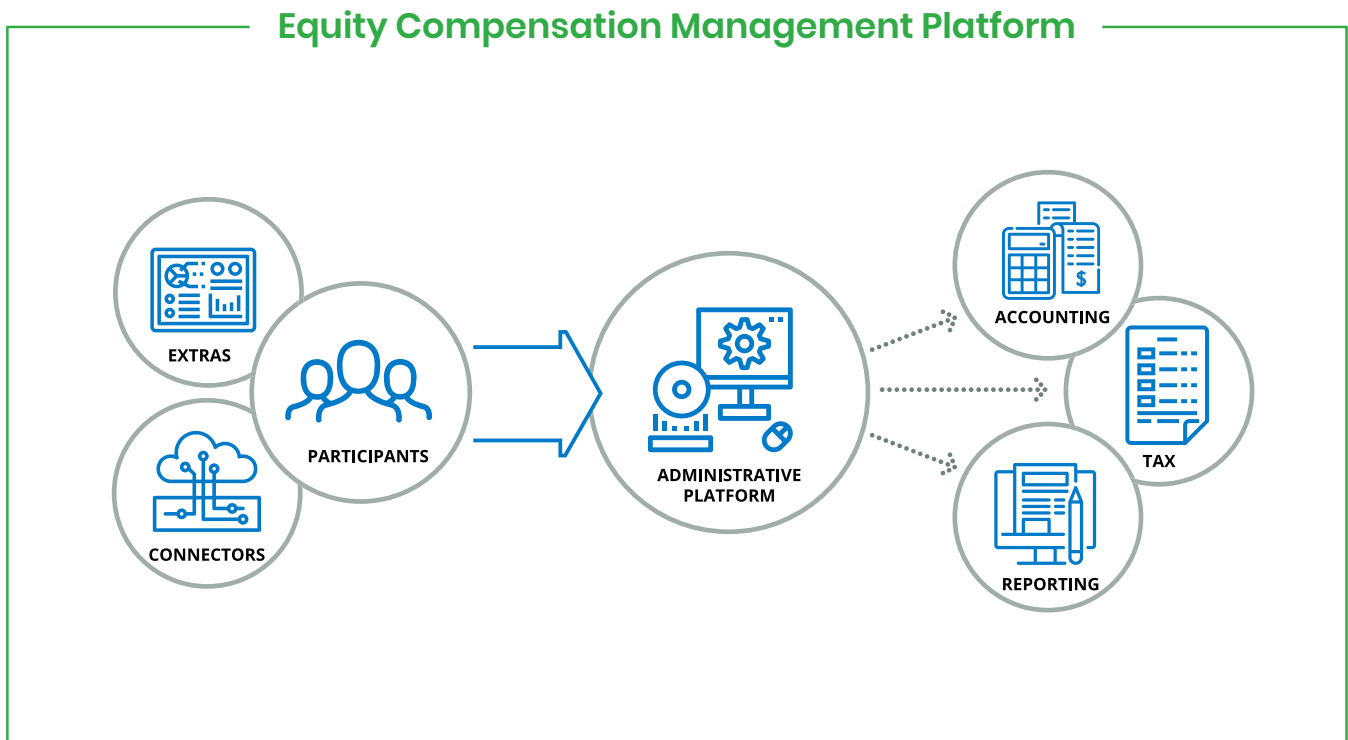
# Certent Equity Management

treasury  
from insightsoftware

## Manage, Administer, Account For, and Report on Equity Compensation Plans

Equity awards and grants remain an indispensable component of compensation for public companies. However, a rapidly changing regulatory landscape combined with ever-evolving compensation structures means that the challenges of managing equity compensation have never been greater. From accounting complexities and compliance issues to orchestrating the exercise and settlement process and generating the reports, equity compensation can quickly become a drain on productivity and a bottleneck to closing your financials.

Now there's a better way. Certent's comprehensive Equity Management simplifies and streamlines all the crucial aspects of stock administration and reporting. With a participant portal, full administration console, and pre-built reports, you can streamline equity comp processes, improve productivity, and tighten compliance.



## Administration



The Certent platform enables you to administer multiple separate award types, from cash-settled grants to performance grants. Administrators have access to a complete audit trail of transactions and can easily cancel, replace or make modifications to grants. Certent also tracks when a grantee moves to another department, country, or regulatory jurisdiction. And you can get alerts and notifications regarding activity that requires your intervention, such as Section 16 activities.

## Complete Accounting Features



Certent automatically computes detailed expense calculations and generates Black-Scholes valuations using a seamless integration with market prices and daily risk-free rates. You can use unlimited experience groups to calculate expected life and forfeiture rates. Certent automatically computes single-life or multiple-life valuations, using peer group volatility data when needed, and provides audit reports showing the fair value details.

## Comprehensive Tax Calculations and Reports



With Certent, you can create a full set of corporate tax accounting journal entries for all types of settlement transactions, including settlement of modified and exchanged awards. Certent computes APIC windfalls and shortfall adjustments and supports unlimited tax entities for deferred tax asset (DTA) calculations. Use either FIFO or a pro-rata method of pre-adoption DTA allocation. Certent also tracks the mobility of participants to give you the information you need to allocate taxes.

## Reporting



Our comprehensive Web-based solution features prebuilt reports you can use right out of the box. This industry-leading reporting capability streamlines and simplifies complex financial reporting, helping you meet all SEC and proxy reporting requirements with greater confidence. We offer more than 50 lines of disclosure information to support your plan choices and reduce your risk exposure through detailed audit trails. It automates processes, eliminates spreadsheets, and improves accuracy – creating greater speed and significant cost savings. With Certent, you enjoy clearer visibility into equity compensation across your enterprise and the ability to refocus limited resources on higher-value activities.

*“The Certent Equity Management product continues to meet the needs of our rapidly growing business. I know the software can handle the complexities of our equity program, and we can rely on the accurate reporting for our financial reporting needs.”*

– Jake Singleton, CFO at The Joint Corp.

# The Participant Portal

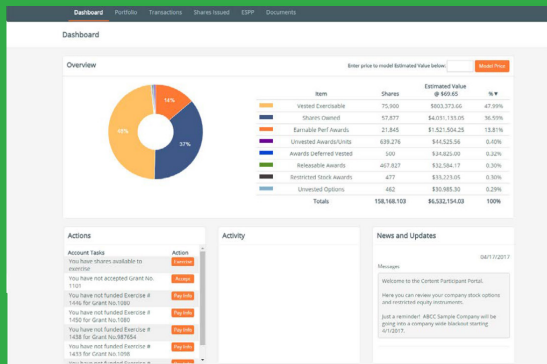


Certent simplifies the sometimes confusing equity compensation process for plan participants by automating the entire exercise and settlement workflow. Integrated online grant delivery and acceptance complies with electronic delivery and signature requirements. Participants can make tax payment elections on restricted awards vesting, model exercises, and access their grant portfolio and transaction statements. The Participant Portal will also notify your employees about upcoming actions for time-sensitive events. And you're not locked in — Certent connects to your preferred broker, providing a complete closed-loop transaction process.

# Document Management



Certent's Document Management feature enables administrators to upload single or multiple documents such as agreements and plan terms and conditions, distribute them as stand-alone documents or document packages, and track them efficiently and easily. You can collect signatures when required through an integrated signature collection application. Participants access documents on the participant portal and others without portal access receive a secure link via email to the documents and signature process. When required, you can establish a signature hierarchy to ensure that participants, managers, and executives view and sign the documents in the specified order. You can also use Document Management for archival purposes and upload documents without distributing them.



## The Certent Extras

### Sandbox:

Forecast and model various scenarios using your company data in a test environment.

### Tax Rates:

Automatically import withholding rates for each tax jurisdiction on monthly, quarterly, or annual basis.

### ESPP Management:

Simplify processes of eligibility and enrollment through purchase and reporting.

### Peer Group Volatility:

Calculate weighted average volatility for up to 12 peer companies.

### Section 16:

Our integrated solution makes it easy to file Forms 3, 4, and 5.



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# Connectors

## HR Payroll Connector:

Use automated two-way integration with leading payroll/HRIS systems to synchronize tax and demographic information.

## Broker Connector:

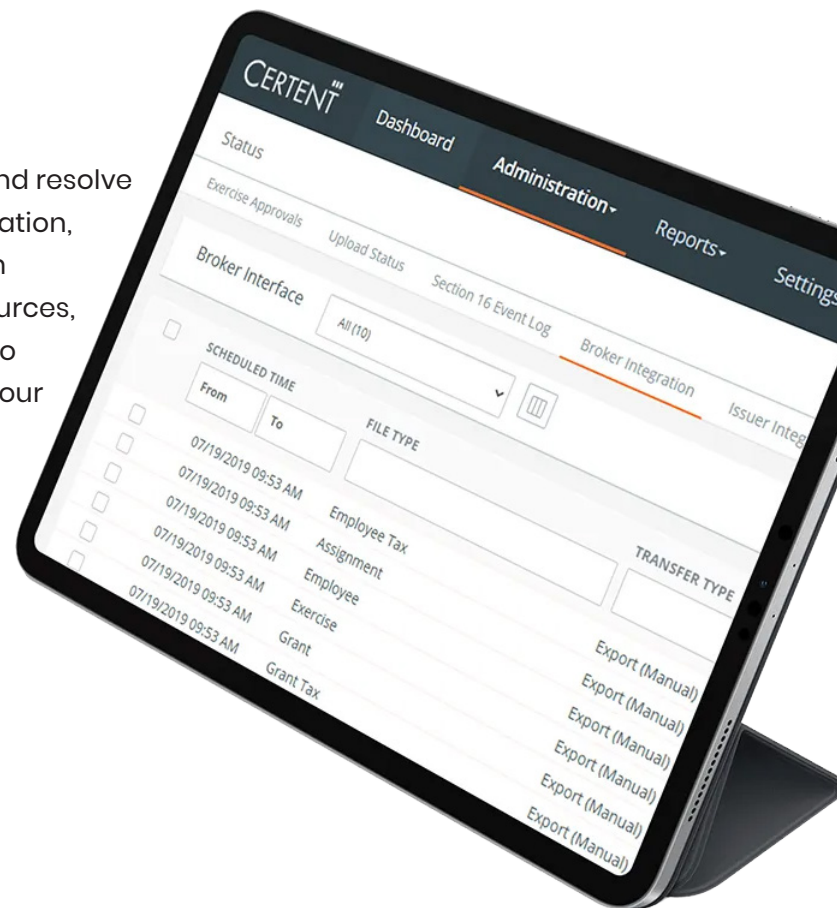
Pre-integrated, certified connections with leading brokers let you work with one or several brokers, supporting the end-to-end exercise and settlement process.

## How We Make You Successful

When you need the best in equity compensation management, the choice is Certent. It starts with the most comprehensive implementation of any stock plan software provider including options such as:

- Data conversion from your legacy systems
- Accounting reconciliation
- One-on-one training and coaching from a Certent financial reporting expert

Our expert consultants can help you address and resolve questions about complex accounting, reconciliation, and methodology issues. And we back that with robust customer support, extensive online resources, regular software updates, and a commitment to ensuring you derive the maximum value from your investments through an unwavering focus on innovation, simplicity, and service.



*"It's a pretty user-friendly product to help professionals manage stock awards and I'd be comfortable recommending it to any colleague."*

—Mark Harrison

A Finance Professional at RPT Realty, L.P.

#### Customers include:

AK Steel Holding  
The First of Long Island Corporation  
Adams Resources & Energy  
Marin Software  
Fibrocell Science  
International Seaways Ship Management  
Integer Holdings  
NuScale Power  
RPT Realty L.P.  
Rollins Inc  
The Howard Hughes Corporation  
Brain Corp  
MTBC  
Jumpshot

#### About insightsoftware

insightsoftware is a leading provider of financial reporting and enterprise performance management software. We enable the Office of the CFO to connect to and make sense of their data in real time so they can proactively drive greater financial intelligence across their organization. More than 28,000 organizations worldwide rely on insightsoftware's portfolio of best-in-class reporting, analytics, budgeting, forecasting, consolidation, and tax solutions to provide them with increased productivity, visibility, accuracy, and compliance.

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#### Questions?

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